

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David C. Roberts
Luis Jorge Novelo Martin
Juan Antonio Casas
Garry R. Channell

Title: HEAT EXCHANGE
SYSTEM

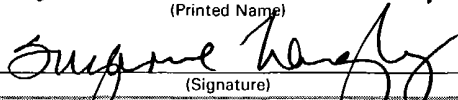
Application No.: To Be Determined

Filing Date: To Be Determined

Examiner: To Be Determined

Art Unit: To Be Determined

Mail Stop PATENT APPLICATION
Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

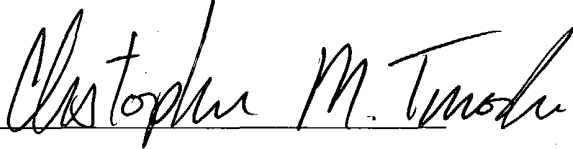
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INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.97-98 and in accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicant submits herewith the references listed on the attached Form PTO-1449 to the Examiner such that they may be considered and made of record in the above-identified application. A copy of each reference is enclosed.

Respectfully submitted,

Date 7-1-03

By 

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Date Submitted: July 1, 2003

(use as many sheets as necessary)

Complete if Known

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|-------|---|----|---|------------------------|------------------|
| Sheet | 1 | of | 1 | Application Number | To Be Determined |
| | | | | Filing Date | To Be Determined |
| | | | | First Named Inventor | David C. Roberts |
| | | | | Group Art Unit | To Be Determined |
| | | | | Examiner Name | To Be Determined |
| | | | | Attorney Docket Number | 025636-0105 |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|----------------------|-----------------------------------|---|--|---|
| | | Number | Kind Code ² (if known) | | | |
| | A1 | 6,515,251 | B1 | Wind | 02/04/2003 | |
| | A2 | 5,267,383 | | Sawdon | 12/07/1993 | |
| | A3 | 5,031,442 | | Kynl | 07/16/1991 | |
| | A4 | 4,760,634 | | Rapp | 08/02/1988 | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Documents | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|--------------------|-----------------------|-------------------------|---------------------|-----------------------------------|--|--|---|----------------|
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
| | A7 | | 0 215 449 | B1 | Rapp | 05/22/1991 | | |
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NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ⁶ |
|--------------------|-----------------------|--|----------------|
| | A8 | MasterCool II, Installation Use and Care Parts Replacement (7 pgs.) | |
| | A9 | MasterCool II, "The Most Efficient Evaporative Cooler You Can Buy," AdobeAir, Inc., 500 S. 15 th St., Phoenix, AZ 85034, L900790A 03/95 (2 pgs.) | |
| | A10 | MasterCool II, Replacement Parts List, Models MC43/44B, HC43/44A, MC63/64B, MC63/64C, HC63/64A, HC63/64B (1 pg.) | |
| | A11 | MasterCool II Evaporative Cooler 10-Year Limited Warranty, L414302A, 01/96 (1 pg.) | |
| | A12 | AdobeAir Owner's Guide, Commercial/Industrial Evaporative Cooler, Use and Care Manual, AdobeAir, Inc., 500 S. 15 th St., Phoenix, AZ 85034, L402207A, 12/99 (12 pgs.) | |
| | A13 | AdobeAir, "What Is Evaporative Cooling," bearing a designation of "4/22/2003," AdobeAir (4 pgs.) | |
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Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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